

RABNER ALLCORN BAUMGART & BEN-ASHER

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* ADMITTED IN NY & NJ
** ADMITTED IN NJ, WA & FL
° RULE 1:40 QUALIFIED MEDIATOR
° CERTIFIED CIVIL TRIAL ATTORNEY

April 16, 2007

Electronically filed

United States District Court
District of New Jersey
Martin Luther King, Jr. Federal Building
and U.S. Courthouse
50 Walnut Street
Newark, NJ 07102

RE: Robert Waas and Robert Germano vs. Prudential Financial, Inc. et al

Dear Sir/Madam:

Pursuant to my secretary's conversation with the Clerk's office today, we hereby enclose three separate summonses regarding the above referenced matter. Please have the Clerk arrange signature and seal to be placed on same and return them to me.

Thanking you in advance for your assistance in this matter.

Sincerely,

Rabner Allcorn
Baumgart & Ben-Asher, PC



Eugenie F. Temmler

EFT:blw

Enclosures

V:\Liddle-Robinson-Prudential\Waas and Germano\clerk - summons.doc

UNITED STATES DISTRICT COURT

District of _____

ROBERT WAAS AND ROBERT GERMANO,

Plaintiffs,

SUMMONS IN A CIVIL ACTION

V.

CASE NUMBER: 07-1683

PRUDENTIAL FINANCIAL, INC.; PRUDENTIAL
INVESTMENT MANAGEMENT, INC.; and
PRUDENTIAL INVESTMENT SERVICES LLC,

Defendants.

TO: (Name and address of Defendant)

Prudential Investment Management Services LLC
Three Gateway Center, 14th Floor
Newark, NJ

YOU ARE HEREBY SUMMONED and required to serve on PLAINTIFF'S ATTORNEY (name and address)

David H. Ben-Asher, Esq.
Rabner Allcorn Baumgart & Ben-Asher, PC
52 Upper Montclair Plaza
Upper Montclair, NJ 07043

an answer to the complaint which is served on you with this summons, within _____ days after service of this summons on you, exclusive of the day of service. If you fail to do so, judgment by default will be taken against you for the relief demanded in the complaint. Any answer that you serve on the parties to this action must be filed with the Clerk of this Court within a reasonable period of time after service.

CLERK

DATE

(By) DEPUTY CLERK

AO 440 (Rev. 8/01) Summons in a Civil Action

RETURN OF SERVICE

| | |
|--|-------|
| Service of the Summons and complaint was made by me ⁽¹⁾ | DATE |
| NAME OF SERVER (<i>PRINT</i>) | TITLE |

Check one box below to indicate appropriate method of service

- ☐ Served personally upon the defendant. Place where served:
- ☐ Left copies thereof at the defendant's dwelling house or usual place of abode with a person of suitable age and discretion then residing therein
Name of person with whom the summons and complaint were left:
- ☐ Returned unexecuted:
- ☐ Other (specify):

STATEMENT OF SERVICE FEES

| | | |
|--------|----------|--------------|
| TRAVEL | SERVICES | TOTAL \$0.00 |
|--------|----------|--------------|

DECLARATION OF SERVER

I declare under penalty of perjury under the laws of the United States of America that the foregoing information contained in the Return of Service and Statement of Service Fees is true and correct

Executed on _____
Date Signature of Server

Address of Server

(1) As to who may serve a summons see Rule 4 of the Federal Rules of Civil Procedure

UNITED STATES DISTRICT COURT

District of _____

ROBERT WAAS AND ROBERT GERMANO,

Plaintiffs,

SUMMONS IN A CIVIL ACTION

V.

CASE NUMBER: 07-1683

PRUDENTIAL FINANCIAL, INC.; PRUDENTIAL
INVESTMENT MANAGEMENT, INC.; and
PRUDENTIAL INVESTMENT SERVICES LLC,

Defendants.

TO: (Name and address of Defendant)

Prudential Investment Management, Inc.
2 Gateway Center
McCarter Highway and Market Street
Newark, NJ

YOU ARE HEREBY SUMMONED and required to serve on PLAINTIFF'S ATTORNEY (name and address)

David H. Ben-Asher, Esq.
Rabner Allcorn Baumgart & Ben-Asher, PC
52 Upper Montclair Plaza
Upper Montclair, NJ 07043

an answer to the complaint which is served on you with this summons, within _____ days after service of this summons on you, exclusive of the day of service. If you fail to do so, judgment by default will be taken against you for the relief demanded in the complaint. Any answer that you serve on the parties to this action must be filed with the Clerk of this Court within a reasonable period of time after service.

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UNITED STATES DISTRICT COURT

District of _____

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SUMMONS IN A CIVIL ACTION

V.

CASE NUMBER: 07-1683

PRUDENTIAL FINANCIAL, INC.; PRUDENTIAL
INVESTMENT MANAGEMENT, INC.; and
PRUDENTIAL INVESTMENT SERVICES LLC,

Defendants.

TO: (Name and address of Defendant)

Prudential Financial, Inc
751 Broad Street
Newark, NJ

YOU ARE HEREBY SUMMONED and required to serve on PLAINTIFF'S ATTORNEY (name and address)

David H. Ben-Asher, Esq.
Rabner Allcorn Baumgart & Ben-Asher, PC
52 Upper Montclair Plaza
Upper Montclair, NJ 07043

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